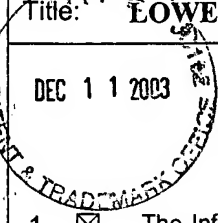


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT			Docket No. 6121 D01/MD/PVD/PJS
Under 37 CFR 1.97(b), (c), or (d)			
In re Application of: Brown, et al.			
Serial No. 10/668,529	Filing Date September 23, 2003	Examiner	Group Art Unit
Title: LOWER PEDESTAL SHIELD			
		Address to: Commissioner for Patents Alexandria, VA 22313-1450	

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

37 CFR 1.97(d)

3. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)

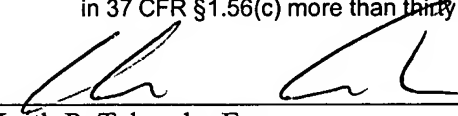
☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))

☐ No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))

☐ The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.

37 CFR §1.704(d)

4. ☐ Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

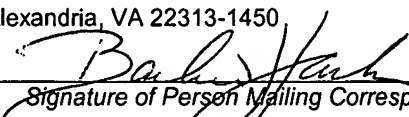


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Dated: Dec 5, 2003

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I certify that this document is being deposited on 12-5-2003 with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



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Barbara J. Jackson

Typed or Printed Name of Person Mailing Correspondence

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. 6121D1/MD/PVD/PJS	Serial No. 10/668,529
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Brown, et al.	Confirmation No.:
(Use several sheets if necessary)		Filing Date 09/23/2003	Group
Examiner Not yet assigned			

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	2002/0069820 A1	06/13/2002	Yudovsky	118	500	12/08/2000
	A2	3,661,758	05/09/72	Jackson et al.	204	298	
	A3	4,439,261	03/1984	Pavone et al.	156	345.47	
	A4	4,795,529	01/1989	Kawasaki et al.	216	37	
	A5	5,804,046	09/08/98	Sawada, et al.	204	298.11	
	A6	5,665,166	09/1997	Deguchi et al.	118	723E	
	A7	6,072,147	06/06/00	Koshiishi, et al.	219	121.43	12/02/97
	A8	6,077,353	06/2000	Al-Shrif et al.	118	500	
	A9	6,106,625	08/22/00	Koai, et al.	118	715	02/13/98
	A10	6,107,192	08/22/00	Subrahmanyam, et al.	637	637	
	A11	6,167,837	01/02/01	Cook	118	723 E	01/12/99
	A12	6,171,453 B1	01/09/01	Chung, et al.	204	192.12	
	A13	6,221,221 B1	04/24/01	Al-Shaikh, et al.	204	298.02	
	A14	6,221,782	04/24/01	Shan, et al.	438	710	04/06/99
	A15	6,254,788	07/2001	Tomoyasu et al.	156	345.43	
	A16	6,264,812 B1	07/24/01	Raaijmakers, et al.	204	298.06	

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation/Abstract	
							YES	NO
	B1	8-330243	12/13/96	JP	H01L	21/205	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B2	42 20 588 A1	01/13/94	DE	C23C	14/22	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B3	97/42648	11/13/1997	WIPO	H01J	37/32	<input checked="" type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	
	C2	

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.